

United States Patent and Trademark Office

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/820,638

04/08/2004

Thanthrimudalige D. J. Dunstan

3050-004

33432 KILYK & BOWERSOX, P.L.L.C. 53 A EAST LEE STREET WARRENTON, VA 20186



KILYK & BOWERSOX, P.L.L.C.

CONFIRMATION NO. 8823 FORMALITIES LETTER *OC00000013044225*

Date Mailed: 06/23/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/04/2004 JADD01

00000032 10820638

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 02 FC:1201 03 FC:1202 04 FC:1051

770.00 OP 86.00 OP 666.00 OP 130.00 DP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$752 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1652 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

DUE 027282304 NTK

Total additional claim fee(s) for this application is \$752

• \$86 for 1 independent claims over 3.

■ \$666 for 37 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

(\$) 1,652.00

Applicant Claims small entity status. See 37 CFR 1.27

OTAL AMOUNT OF PAYMENT

CATENT & T

Complete if Known				
Application Number	10/820,638			
Filing Date	April 8, 2004			
First Named Inventor	Dunstan et al.			
Examiner Name	Unassigned			
Art Unit	1745			
Attorney Docket No.	3050.004			

METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Check X Credit card Money **ADDITIONAL FEES** Other Large Entity Small Entity Fee (\$) Fee Code Fee (\$) **Fee Description** Fee Paid Fee Code Deposit 130.00 50-0925 1051 130 2051 Surcharge - late filing fee or oath Account Number Deposit Kilyk & Bowersox, P.L.L.C. Surcharge - late provisional filing fee or 1052 50 2052 25 Account cover sheet Name 1053 130 1053 130 The Director is authorized to: (check all that apply) Non-English specification X Credit any overpayments Charge fee(s) indicated below 1812 2 520 1812 For filing a request for ex parte reexamination 2.520 X Charge any additional fee(s) or any underpayment of fee(s) Requesting publication of SIR prior to 1804 920* 1804 920* Examiner action tequesting publication of SIR after Charge fee(s) indicated below, except for the filing fee 1805 1,840* 1805 1,840* Examiner action to the above-identified deposit account **FEE CALCULATION** 1251 110 2251 55 Extension for reply within first month 1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month Large Entity **Small Entity** 1253 950 2253 475 Extension for reply within third month Fee Description Fee (\$) Fee Paid Fee Code Fee (\$) Fee 1254 1,480 2254 740 Extension for reply within fourth month 770.00 770 1255 2,010 2255 1,005 Extension for reply within fifth month 1001 2001 385 Utility filing fee 1401 330 2401 165 Notice of Appeal 1002 340 2002 170 Design filing fee 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1403 290 2403 145 Request for oral hearing 1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1.510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) | (\$) 770.00 1453 1,330 2453 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) Fee from 1502 480 2502 Design issue fee 240 Fee Paid Extra Claims below 666.00 Total Claims 57 -20**= 37 Х 18 1503 640 2503 Plant issue fee 320 Independent Claims Х 4 - 3**= 1 86 86.00 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 Processing fee under 37 CFR 1.17(q) 50 **Small Entity** Large Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Description 8021 40 8021 Recording each patent assignment per 40 (\$) Code (\$) Code property (times number of properties) Filing a submission after final rejection 1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 (37 CFR 1,129(a)) For each additional invention to be 1201 2201 86 Independent claims in excess of 3 43 1810 770 2810 385 examined (37 CFR 1.129(b)) 1203 290 2203 145 Multiple dependent claim, if not paid 1801 770 2801 Request for Continued Examination (RCE) **Reissue independent claims Request for expedited examination 2204 1204 86 43 1802 900 1802 900 over original patent of a design application *Reissue claims in excess of 20 and over original patent 2205 1205 18 SUBTOTAL (2) | (\$) 752.00 Other fee (specify) ** or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00

SUBMITTED BY				Complete (if a	applicable)
Name (Print/Type)	Luke A. Kilyk	Registration No. (Attorney/Agent)	33,251	Telephone	540-428-1701
Signature	Sty a May			Date	August 2, 2004
11/4 5 111/4					

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFF 17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. END TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Date: August 2, 2004 I hereby certify that, on the date indicated above, I deposited this paper with identified attachments and/or fee with the U.S. Postal Service and that it was addressed for delivery to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 by "First Class Mail" service.

Donald S. Prater	Jonald & Last
Name (Print)	Signature

Date: August 2, 2004 I hereby certify that, on the date indicated above, I deposited this paper with identified sattachments and/or fee with the U.S. Postal Service and that it was addressed for delivery to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 by "First Class Mail" service.

onald S. Prater

ame (Print)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: DUNSTAN et al. Examiner: Unassigned Application No.: 10/820,638 Group Art Unit: 1745 Filed: April 8, 2004 Confirmation No.: 8823 Docket No.: 3050-004 Customer No.: 33432

For: LITHIUM-ION CELL WITH A WIDE OPERATING TEMPERATURE RANGE

RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

August 2, 2004

Dear Sir:

In response to the Notice to File Missing Parts of Non-provisional Application dated June 23, 2004, enclosed for filing is an executed Declaration for the above-identified patent application.

Also enclosed is a Credit Card Payment Form in the amount of \$1,652.00, covering the \$130.00 surcharge for the late filing of the executed Declaration, the basic filing fee of \$770.00, and the extra claims fee of \$752.00 for a large entity. In the event that any additional fees are due with this paper, please charge Deposit Account No. 50-0925.

Respectfully submitted,

Luke A. Kilyk

Reg. No. 33,25

Docket No.: 3050-004

KILYK & BOWERSOX, P.L.L.C.

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